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Asset Management ADVocate

Update on SEC's New Relief for Form ADV and Form PF Filing and Delivery Obligations

On March 25, 2020, the Securities and Exchange Commission ("SEC") published new relief that supersedes its March 13, 2020 order for investment advisers filing and delivery obligations of Form ADV and Form PF. We have updated our [original post](#) to reflect the relief provided in the SEC's new March 25 order. This new order extends the time of the relief to June 30, 2020, and eliminates the requirement for the adviser to provide the SEC and clients with a description of the reasons why the adviser is relying on the order and an estimated date by which the required filing will occur.

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