FINRA and CFTC Staff Issue COVID-19 Advisories on Commodity-Linked ETPs and Funds

FINRA and the CFTC each issued recent advisories on commodity-linked exchange traded products. Directed at retail investors and broker-dealers, the advisories each highlighted certain issues unique to commodity-linked exchange traded products that were recently demonstrated by market reactions to fluctuating oil prices caused by the COVID-19 pandemic. The advisories provided guidance on relevant considerations in connection with oil-linked and commodity-linked exchange traded products. <u>Read the full article here</u>.

Explore more in

Investment Management Blog series

Asset Management ADVocate

The Asset Management ADVocate provides unique analysis and insight into legal developments affecting asset managers in the United States.

View the blog