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December 20, 2023

FINRA's In-House Courts Facing Challenges, Jarkey Ripple Effect



In a commentary for Bloomberg Law, Rachel Mechanic and Daniel Zinman explain how FINRA's in-house tribunal system is defending constitutional challenges and may also have to navigate repercussions from a Supreme Court securities case.

When the US Supreme Court heard [arguments](#) in [Securities and Exchange Commission v. Jarkey](#) last month, the justices' questions suggested the court would likely declare the SEC's in-house tribunal at least partially unconstitutional.

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