

In a commentary for Bloomberg Law, Rachel Mechanic and Daniel Zinman explain how FINRA's in-house tribunal system is defending constitutional challenges and may also have to navigate repercussions from a Supreme Court securities case.

When the US Supreme Court heard <u>arguments</u> in <u>Securities and Exchange Commission v. Jarkesy</u> last month, the justices' questions suggested the court would likely declare the SEC's in-house tribunal at least partially unconstitutional.

Read the full article in Bloomberg Law

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