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Defense Considerations for SEC Remote Interview Requests

As social distancing and travel restrictions continue due to the COVID-19 pandemic, requests from the government for remote testimony and interviews undoubtedly will increase.

Indeed, co-director of the U.S. Securities and Exchange Commission Division of Enforcement Steven Peikin recently noted an increased potential for fraud and manipulation due to recent market volatility and made plain that the SEC is committed to going forward with the pursuit and execution of its enforcement mission during the health crisis.

With no imminent prospect for a breakthrough in the discovery, development and mass distribution of a vaccine or cure, remote testimony may be with us for some time.

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