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ICI Mutual Funds Conference Outlines the SEC's 2016 Agenda

The Investment Company Institute's annual Mutual Funds and Investment Management Conference heralded springtime and a fresh approach to the regulation of registered funds. During the panel sessions held March 14-16, 2016, staff of the Securities and Exchange Commission (SEC), outside counsel and industry participants discussed a variety of topics, focusing on three recent regulatory developments and several initiatives to come.

- Key regulatory developments for registered funds include proposed rules governing liquidity risk management, derivatives and reporting.
- Forthcoming initiatives include distribution matters, stress testing and broadened fiduciary standards.

The overarching theme was whether, and how, those interlinked topics might be addressed holistically.

Authors

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